ومن خطبة له (عليه السلام) المعروفة بالشقشقية

Known as the Sermon of ash-Shaqshaqiyyah [1]

It includes a complaint about the matter of the caliphate, then an expression of his preference for patience regarding it, and finally, the people's pledge of allegiance to him

Beware! By Allāh the son of Abū Quḥafah (Abū Bakr) [2] dressed himself with it (the caliphate) and he certainly knew that my position in relation to it was the same as the position of the axis in relation to the hand-mill. The flood water flows down from me and the bird cannot fly upto me. I put a curtain against the caliphate and kept myself detached from it. Then I began to think whether I should assault or endure calmly the blinding darkness of tribulations wherein the grown up are feeble and the young grow old and the true believer acts under strain till he meets Allāh (on his death).

[Preference for patience:] I found that endurance thereon was wiser. So I adopted patience although there was pricking in the eye and suffocation (of mortification) in the throats. I watched the plundering of my inheritance till the first one went his way but handed over the Caliphate to Ibn al-Khattab after himself.

(Then he quoted al-A'shā's verse): My days are now passed on the camel's back (in difficulty) while there were days (of ease) when I enjoyed the company of Jābir's brother Ḥayyān. [3]

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It is strange that during his lifetime he wished to be released from the caliphate but he confirmed it for the other one after his death. No doubt these two shared its udders strictly among themselves. This one put the Caliphate in a tough enclosure where the utterance was haughty and the touch was rough. Mistakes were in plenty and so also the excuses therefore. One in contact with it was like the rider of an unruly camel. If he pulled up its rein the very nostril would be slit, but if he let it loose he would be thrown.

Consequently, by Allāh people got involved in recklessness, wickedness, unsteadiness and deviation. Nevertheless, I remained patient despite length of period and stiffness of trial, till when he went his way (of death) he put the matter (of Caliphate) in a group [4] and regarded me to be one of them.

But good Heavens! what had I to do with this "consultation"? Where was any doubt about me with regard to the first of them that I was now considered akin to these ones? But I remained low when they were low and flew high when they flew high. One of them turned against me because of his hatred and the other got inclined the other way due to his in-law relationship and this thing and that thing.

Till the third man of these people stood up with heaving breasts between his dung and fodder. With him his children of his grandfather (Umayyah) also stood up swallowing up Allah's wealth [5] like a camel devouring the foliage of spring, till his rope broke down, his actions finished him and his gluttony brought him down prostrate.

[Allegiance paid to 'Alī (a.s.):] At that moment, nothing took me by surprise, but the crowd of people rushing to me. It advanced towards me from every side like the

mane of the hyena so much so that Ḥasan and Ḥusayn were getting crushed and both the ends of my shoulder garment were torn. They collected around me like the herd of sheep and goats.

When I took up the reins of government one party broke away and another turned disobedient while the rest began acting wrongfully as if they had not heard the word of Allāh saying: "That abode in the hereafter, We assign it for those who intend not to exult themselves in the earth, nor (to make) mischief (therein); and the end is (best) for the pious ones." (Qur'ān, 28:83)

Yes, by Allāh, they had heard it and understood it but the world appeared glittering in their eyes and its embellishments seduced them.

Behold, by Him who split the grain (to grow) and created living beings, if people had not come to me and supporters had not exhausted the argument and if there had been no pledge of Allāh with the learned to the effect that they should not acquiesce in the gluttony of the oppressor and the hunger of the oppressed I would have cast the rope of Caliphate on its own shoulders, and would have given the last one the same treatment as to the first one. Then you would have seen that in my view this world of yours is no better than the sneezing of a goat.

It is said that when Amīr al-Mu'minīn reached here in his sermon a man of Iraq stood up and handed him over a writing. Amīr al-Mu'minīn began looking at it, when Ibn 'Abbās said, "O Amīr al-Mu'minīn, I wish you resumed your Sermon from where you broke it."

Thereupon He (a.s.) replied, "O Ibn 'Abbās it was like the foam of a Camel which gushed out but subsided."

Ibn 'Abbās says that he never grieved over any utterance as he did over this one because Amīr al-Mu'minīn could not finish it as he wished to.

قال الشريف الرضي: قوله (عليه السلام) [في بعض النسخ: ... في هذه الخطبة]: «كُراكب الصَّعْبَة إِنْ أَشْنَقَ لَهَا خَرَمَ، وَإِنْ أَسْلَسَ لَهَا تَقَحَمَت به لَهَا تَقَحَمُه يريد: أنه إذا شدد عليها في جذب الزمام وهي تنازعه رأسها خرم أنفها، وإِن أرخى لها شيئاً مع صعوبتها تقحمت به فلم يملكها، يقال: أشنق الناقة، إذا جذب رأسها بالزمام فرفعه، و شنقها أيضاً: ذكر ذلك ابن السكيت في «إ صلاح المنطق». وإنما قال (عليه السلام): «أَشْنَقَ لَهَا» ولم يقل: «أَشْنَقَها»، لانه جعله في مقابلة قوله: «أَسْلَسَ لَها»، فكأنه (عليه السلام) قال: إن رفع لها رأسها يعني أمسكه عليها بالزمام.

ash-Sharif ar-Raḍī says: The words in this sermon — "like the rider of a camel" — mean to convey that when a camel rider is stiff in drawing up the rein then in this scuffle the nostril gets bruised; but if he lets it loose in spite of camel's unruliness, it would throw him somewhere and would get out of control. "Ashnaqa an-nāqah" is used when the rider holds up the rein and raises the camel's head upwards. In the same sense the word "Shanaqahā" is used. Ibn as-Sikkīt has mentioned this in Iṣlāḥ al-manṭiq. Amīr al-Mu'minīn has said "ashnaqa lahā" instead of "ashnaqahā," this is because he has used this word in harmony with "aslasa lahā"; and harmony could be retained only by using both in the same form. Thus, Amīr al-Mu'minīn has used "ashnaqa lahā" as though in place of "in rafa'a lahā ra'sahā," that is, "if he stops it by holding up the reins."

[في بعض النسخ: ... وفي الحديث أنّ رسولِ الله (صلى الله أعليه أوآله) خُطُبِ الناسِ وهوِ على ناقة قَدْ شَنَقَ لَهَا وهي تَقْصَعُ بِجِرّتِها. ومن الشاهد على أنّ أشنَقَ بمعنى شنَقَ قولُ عَدِيّ بنِ زيدٍ العِبَادِيّ: ساءَها ما لَها تَبيَّنَ في الأَيْدِي \*\*\* وإِشْنَاقُها إلى الأعْنَاق]

## Footnotes:

[1] This sermon is known as the sermon of ash-Shaqshaqiyyah, and is counted among the most famous sermons of Amīr al-Mu'minīn. It was delivered at ar-Raḥbah.

Although some people have denied it to be Amīr al-Mu'minīn's utterance and by attributing it to as-Sayyid ar-Radī (or ash-Sharif ar-Radī) have laid blame on his acknowledged integrity, yet truth-loving scholars have denied its veracity. Nor can there be any ground for this denial because 'Alī's (a.s.) difference of view in the matter of Caliphate is not a secret matter, so that such hints should be regarded as something alien. And the events which have been alluded to in this sermon are preserved in the annals of history which testifies them word by word and sentence by sentence. If the same events which are related by history are recounted by Amīr al-Mu'minīn then what is the ground for denying them? If the memory of discouraging circumstances faced by him soon after the death of the Prophet appeared unpalatable to him it should not be surprising. No doubt this sermon hits at the prestige of certain personalities and gives a set back to the faith and belief in them but this cannot be sustained by denying the sermon to be Amīr al-Mu'minīn's utterance, unless the true events are analysed and truth unveiled; otherwise just denying it to be Amīr al-Mu'minīn's utterance because it contains disparagement of certain individuals carries no weight, when similar criticism has been related by other historians as well. Thus (Abū 'Uthmān) 'Amr ibn Bahr al-Jāhiz has recorded the following words of a sermon of Amīr al-Mu'minīn and they are not less weighty than the criticism in the "Sermon of ash-Shaqshaqiyyah":

Those two passed away and the third one rose like the crow whose courage is confined to the belly. It would have been better if both his wings had been cut and his head severed. (Kitāb al-Bayān wa al-Tabyīn, Volume 1, p. 170, 'Ilmiyyah Press, Egypt.)

Consequently, the idea that it is the production of as-Sayyid ar-Radī is far from truth and a result of partisanship and partiality. Or else if it is the result of some research it should be brought out. Otherwise, remaining in such wishful illusion does not alter the truth, nor can the force of decisive arguments be curbed down by mere disagreement and displeasure.

Now we set forth the testimonies of those scholars and traditionists who have explicitly affirmed that this is the speech of Amīr al-Mu'minīn, so that its historical significance may become known. Among these scholars are some who lived before as-Sayyid ar-Radī's period, some who were his contemporaries, and some who came after him, but all of them related it through their own chain of authority.

(1) Ibn Abī al-Ḥadīd al-Mu'tazilī writes that his master Abū al-Khayr Muṣaddiq ibn Shabīb al-Wāsiṭī (d. 605 A.H.) stated that he heard this sermon from ash-Shaykh Abū Muḥammad 'Abdullāh ibn Aḥmad al-Baghdādī, who is known as Ibn al-Khashshāb (d. 567 A. H.), and when he reached where Ibn 'Abbās expressed

sorrow for this sermon having remained incomplete, Ibn al-Khashshāb said to him that if he had heard the expression of sorrow from Ibn 'Abbās he would have certainly asked him if there had remained with his cousin any further unsatisfied desire because excepting the Prophet he had already spared neither the predecessors nor followers and had uttered all that he wished to utter. Why should therefore be any sorrow that he could not say what he wished? Musaddig says that Ibn al-Khashshāb was a man of jolly heart and decent taste. I inquired from him whether he also regarded the sermon to be a fabrication when he replied "By Allāh, I believe it to be Amīr al-Mu'minīn's word as I believe you to be Musaddig ibn Shabīb." I said that some people regard it to be as-Sayyid ar-Radī's production when he replied: "How can ar-Radī—or anyone else—have such guts or such style of writing? I have seen as-Sayyid ar-Radī's writings and know his literary style and methods of composition. Nowhere does his writing match with this one and I have already seen it in books written two hundred years before the birth of as-Sayyid ar-Radī, and I have seen it in familiar writings about which I know by which scholars or men of letters they were compiled. At that time not only ar-Radī but even his father Abū Ahmad an-Naqīb has not been born."

- (2) Thereafter, Ibn Abī al-Ḥadīd writes that he saw this sermon in the writings of his master Abū al-Qāsim 'Abdullāh ibn Aḥmad al-Balkhī (d. 317 A.H.). He was the Imām of the Mu'tazilites of Baghdād during the reign of al-Muqtadir Billāh, while al-Muqtadir's rule (295–320 AH) was well before the birth of as-Sayyid ar-Raḍī.
- (3) He further writes that he saw this sermon in the book al-Insāf of Abū Ja'far (Muḥammad ibn 'Abd ar-Raḥmān) ibn Qibah. He was a student of Abū al-Qāsim al-Balkhī and a theologian of Imāmiyyah (Shī'ite) sect. (Sharḥ Nahj al-Balāghah, Ibn Abī al-Ḥadīd, vol. 1, p. 206)
- (4) Ibn Maytham al-Baḥrānī (d. 679 A.H.) writes in his commentary that he had seen a copy of this sermon which bore writing of al-Muqtadir Billāh's minister Abū al-Ḥasan 'Alī ibn Muḥammad ibn al-Furāt (d. 312 A.H.). (Sharḥ Nahj al-Balāghah Ibn Maytham, vol. 1, pp. 252–253)
- (5) al-'Allāmah Muḥammad Bāqir al-Majlisī (r.a.) has quoted the following chain of transmission for this Sermon from ash-Shaykh Quṭb al-Dīn al-Rāwandī's compilation Minhāj al-Barā'ah fī Sharḥ Nahj al-Balāghah: ash-Shaykh Abū Naṣr al-Ḥasan ibn Muḥammad ibn Ibrāhīm informed me from al-Ḥājib Abū al-Wafā' Muḥammad ibn Badī', al-Ḥusayn ibn Aḥmad ibn Badī' and al-Ḥusayn ibn Aḥmad ibn 'Abd ar-Raḥmān, and they from al-Ḥāfiẓ Abū Bakr (Aḥmad ibn Mūsā) ibn

Mardawayh al-Iṣfahānī (d. 416 A.H.) and he from al-Ḥāfiẓ Abū al-Qāsim Sulaymān ibn Aḥmad aṭ-Ṭabarānī (d. 360 A.H.) and he from Aḥmad ibn 'Alī al-Abbār, and he from Isḥāq ibn Sa'īd Abū Salamah ad-Dimashqī, and he from Khulayḍ ibn Da'laj, and he from 'Aṭā' ibn Abī Rabāḥ, and he from Ibn 'Abbās. (Biḥār al-Anwār, vol. 29, pp. 505–506)

- (6) In the context al-'Allāmah al-Majlisī has written that this sermon is also contained in the compilations of Abū 'Alī (Muḥammad ibn 'Abd al-Wahhāb) al-Jubbā'ī (d. 303 A.H.). (ibid., p. 506)
- (7) In connection with this very authenticity al-'Allāmah al-Majlisī writes: al-Qāḍī 'Abd al-Jabbār ibn Aḥmad al-Asadābādī (d. 415 A.H.), who was a strict Mu'tazilite, explains some expressions of this sermon in his book al-Mughnī and tries to prove that it does not strike against any preceding caliph, but does not deny it to be Amīr al-Mu'minīn's composition. (ibid., p. 508)
- (8) Abū Ja'far Muḥammad ibn 'Alī, Ibn Bābawayh (d. 381 A.H.) writes: Muḥammad ibn Ibrāhīm ibn Isḥāq aṭ-Ṭālaqānī told us that 'Abd al-'Azīz ibn Yaḥyā al-Jalūdī (d. 332 A.H.) told him that Abū 'Abdillāh Aḥmad ibn 'Ammār ibn Khālid told him that Yaḥyā ibn 'Abd al-Ḥamīd al-Ḥimmānī (d. 228 A.H.) told him that 'Īsā ibn Rāshid related this sermon from 'Alī ibn Ḥudhayfah, and he from 'Ikrimah and he from Ibn 'Abbās. ('Ilal ash-Sharā'i', vol. I, chap. 122, p. 153; Ma'ānī al-Akhbār, Bāb Ma'ānī Khuṭbat Ii-Amīr al-Mu'minīn 'alayhi al-salām)
- (9) Then Ibn Bābawayh records the following chain of authorities: Muḥammad ibn 'Alī Mājilawayh related this sermon to us and he took it from his uncle Muḥammad ibn Abī'l-Qāsim and he from Aḥmad ibn Abī 'Abdillāh (Muḥammad ibn Khālid) al-Barqī and he from his father and he from (Muḥammad) ibn Abī 'Umayr and he from Abīn ibn 'Uthmān and he from Abān ibn Taghlib and he from 'Ikrimah and he from Ibn 'Abbās. ('Ilal ash-Sharā'i', vol. I, chap. 122, p. 150; Ma'ānī al-Akhbār, Bāb Ma'ānī Khutbat li-Amīr al-Mu'minīn 'alayhi al-salām)
- (10) Abū Aḥmad al-Ḥasan ibn 'Abdillāh ibn Sa'īd al-'Askarī (d. 382 A.H.), who counts among great scholars of the Sunnis, has written a commentary and explanation of this sermon that has been recorded by Ibn Bābawayh in 'Ilal ash-Sharā'i' and Ma'ānī al-Akhbār.
- (11) as-Sayyid Ni'matullāh al-Jazā'irī (r.a.) writes: The author of Kitāb al-Ghārāt Abū Ishāq Ibrāhīm ibn Muḥammad ath-Thaqafī al-Kūfī (d. 283 A.H.) has related this

sermon through his own chain of authorities. The date of completion of writing this book is Tuesday the 13th of Shawwãl 355 A.H., and it is the same year in which al-Murtaḍā al-Mūsawī. He was older in age than his brother as-Sayyid ar-Raḍī. (Anwār an-Nu'māniyyah, vol. 1, p. 88)

- (12) as-Sayyid Raḍī ad-Dīn Abū al-Qāsim 'Alī ibn Mūsā ibn Ṭāwūs al-Ḥusaynī al-Ḥillī (d. 664 A.H.) has related this sermon from Kitāb al-Ghārāt with the following chain of authorities: This sermon was related to us by Muḥammad ibn Yūsuf who related it from al-Ḥasan ibn 'Alī (ibn 'Abd al-Karīm) az-Za'farānī and he from Muḥammad ibn Zakariyyā al-Qallābī and he from Ya'qūb ibn Ja'far ibn Sulaymān, and he from his father, and he from his grandfather, and he from Ibn 'Abbās. (at-Ṭarā'if, p. 420).
- (13) Shaykh at-Ṭā'ifah, Muḥammad ibn al-Ḥasan at-Ṭūsī (d. 460 A.H.) writes: (Abū al-Fatḥ Hilāl ibn Muḥammad ibn Ja'far) al-Ḥaffār related this sermon to us. He related it from Abū al-Qāsim (Ismā'īl ibn 'Alī) ad-Di'bilī, and he from his father, and he from his brother Di'bil (ibn 'Alī al-Kuzā'ī), and he from Muḥammad ibn Salāmah ash-Shāmī, and he from Zurārah ibn A'yan, and he from Abū Ja'far Muḥammad ibn 'Alī (a.s.), and he from Ibn 'Abbās. (al-Amālī, p. 372)
- (14) ash-Shaykh al-Mufīd (Muḥammad ibn Muḥammad ibn an-Nu'mān, d. 413 A.H.) who was the teacher of as-Sayyid ar-Raḍī writes about the chain of authorities of this sermon: A number of relaters of traditions have related this sermon from Ibn 'Abbās through numerous chains. (al-Irshād, p. 287)
- (15) 'Alam al-Hudā (the ensign of guidance) as-Sayyid al-Murtaḍā who was the elder brother of as-Sayyid ar-Raḍī has recorded it on p. 392 of his book ash-Shāfī.
- (16) Abū Manṣūr at-Ṭabrisī writes: A number of relaters have given an account of this sermon from Ibn 'Abbās through various chains. Ibn 'Abbās said that he was in the audience of Amīr al-Mu'minīn at ar-Raḥbah (a place in Kūfah) when conversation turned to Caliphate and those who had preceded him as Caliphs; so Amīr al-Mu'minīn breathed a sigh and delivered this sermon. (al-Iḥtijāj, vol. 1, p. 281)
- (17) Sibţ ibn al-Jawzī (d. 654 A.H.) writes: Our ash-Shaykh Abū al-Qāsim ibn an-Nafīs al-Anbārī related this sermon to us through his chain of authorities that ends with Ibn 'Abbās, who said that after allegiance had been paid to Amīr al-Mu'minīn

as Caliph, he was sitting on the pulpit when a man from the audience enquired why he had remained quiet till then whereupon Amīr al-Mu'minīn delivered this sermon extempore. (Tadhkirat Khawāṣṣ al-Ummah, p. 73)

- (18) al-Qāḍī Aḥmad ibn Muḥammad, ash-Shihāb al-Khafājī (d. 1069 A.H.) writes with regard to its authenticity: It is stated in the utterances of Amīr al-Mu'minīn 'Ali (May Allāh be pleased with him) that: "It is strange that during his lifetime he wished to be released from the caliphate but he confirmed it for the other one after his death." (Sharḥ Durrat al-Ghawwāṣ, p.17)
- (19) ash-Shaykh 'Alā' ad-Dawlah Aḥmad ibn Muḥammad as-Simnānī writes: Amīr al-Mu'minīn, Sayyid al-'Ārifīn, 'Alī (a.s.), has stated in one of his brilliant Sermons "this is the Shaqshaqiyyah that burst forth." (al-'Urwah li-Ahl al-Khalwah wa al-Jalwah, p. 4, manuscript in Nāṣiriyyah Library, Lucknow, India)
- (20) Abū al-Faḍl Aḥmad ibn Muḥammad al-Maydānī (d. 518 A.H.) has written in connection with the word Shaqshaqiyyah: Amīr al-Mu'minīn 'Alī has a sermon known as al-Khuṭbah ash-Shaqshaqiyyah. (Majma' al-Amthāl, vol. l, p. 369)
- (21) In fifteen places in an-Nihāyah while explaining the words of this sermon Majd ad-Dīn ibn al-Athīr al-Jazarī has acknowledged it to be Amīr al-Mu'minīn's utterance.
- (22) Shaykh Muḥammad Ṭāhir Paṭnī, while explaining the same words in Majma' al-Biḥār al-Anwār, affirms the sermon to be that of Amīr al-Mu'minīn by saying, "From it is the ḥadīth of 'Alī."
- (23) Abū al-Faḍl ibn Manẓūr (d. 711 A.H.) has acknowledged it as Amīr al-Mu'minīn's utterance in Lisān al-'Arab, vol. 12, p. 53, by saying, "In the sayings of 'Ali in his sermon 'It was like the foam of a Camel which gushed out but subsided'."
- (24) Majd al-Dīn al-Fīrūzābādī (d. 816/817 A.H.) has recorded under the word "Shaqshaqiyyah" in his lexicon (Al-Qāmūs al-Muḥīţ, vol. 3, p. 251): Khuṭbah ash-Shaqshaqiyyah is attributed to 'Alī, and was so named because when Ibn 'Abbās requested him to resume it where he had stopped, he replied: "O Ibn 'Abbās! it was like the foam of a Camel which gushed out but subsided."
- (25) The compiler of Muntaha al-adab writes: Khuṭbah ash-Shaqshaqiyyah of 'Ali is attributed to 'Ali (Allāh may honour his face).

- (26) ash-Shaykh Muḥammad 'Abduh, Mufti of Egypt, recognising it as Amīr al-Mu'minīn's utterance, has written its explanations.
- (27) Muḥammad Muhyi'd-Din 'Abd al-Hamid, Professor in the Faculty of Arabic Language, al-Azhar University has written annotations on Nahj al-balāghah adding a foreword in the beginning wherein he recognizes all such sermons which contain disparaging remarks to be the utterances of Amīr al-Mu'minīn.

In the face of these evidences and undeniable proofs is there any scope to hold that it is not Amīr al-Mu'minīn's production and that as-Sayyid ar-Raḍi prepared it himself?

[2] Amīr al-Mu'minīn has referred to Abu Bakr's accession to the Caliphate metaphorically as having dressed himself with it. This was a common metaphor. Thus, when 'Uthman was called to give up the Caliphate he replied, "I shall not put off this shirt which Allah has put on me." No doubt Amīr al-Mu'minīn has not attributed this dressing of Caliphate to Allāh but to Abu Bakr himself because according to unanimous opinion his Caliphate was not from Allah but his own affair. That is why Amīr al-Mu'minīn said that Abn Bakr dressed himself with the Caliphate. He knew that this dress had been stitched for his own body and his position with relation to the Caliphate was that of the axis in the hand-mill which cannot retain its central position without it nor be of any-use. Similarly, he held "I was the central pivot of the Caliphate, were I not there, its entire system would have gone astray from the pivot. It was I who acted as a guard for its organization and order and guided it through all difficulties. Currents of learning flowed from my bosom and watered it on all sides. My position was high beyond imagination but lust of world seekers for governance became a tumbling stone for me and I had to confine myself to seclusion. Blinding darkness prevailed all round and there was intense gloom every-where. The young grew old and the old departed for the graves but this patience-breaking period would not end. I kept watching with my eyes the plundering of my own inheritance and saw the passing of Caliphate from one hand to the other but remained patient as I could not stop their highhandedness for lack of means."

## NEED FOR THE PROPHET'S CALIPH AND THE MODE OF HIS APPOINTMENT.

After the Prophet of Islam the presence of such a personality was inevitable who could stop the community from disintegration and guard the religious law against

change, alteration and interference by those who wanted to twist it to suit their own desires. If this very need is denied then there is no sense in attaching so much importance to the succession of the Prophet that the assemblage in Sagifah of Banu Sã'idah should have been considered more important than the burial of the Prophet. If the need is recognised, the question is whether or not the Prophet too realised it. If it is held he could not attend to it and appreciate its need or absence of need it would be the biggest proof for regarding the Prophet's mind to be blank for thinking of means to stop the evils of innovations and apostasy in spite of having given warnings about them. If it is said that he did realise it but had to live it unresolved on account of some advantage then instead of keeping it hidden the advantage should be clearly indicated otherwise silence without purpose would constitute delinquency in the discharge of the obligations of Prophethood. If there was some impediment, it should be disclosed otherwise we should agree that just as the Prophet did not leave any item of religion incomplete he did not leave this matter either and did propose such a course of action for it, that if it was acted upon religion would have remained safe against the interference of others.

The question now is what was that course of action. If it is taken to be the consensus of opinion of the community then it cannot truly take place as in such consensus acquiescence of every individual is necessary; but taking into account the difference in human temperaments it seems impossible that they would agree on any single point. Nor is there any ex-ample where on such matters there has been no single voice of dissent. How then can such a fundamental need be made dependent on the occurrence of such an impossible event — need on which converges the future of Islam and the good of the Muslims. Therefore, mind is not prepared to accept this criterion. Nor is tradition in harmony with it, as al-Qāḍī 'Adud ad-Din al-'Iji has written in Sharh al-mawāqif: You should know that Caliphate cannot depend upon unanimity of election because no logical or traditional argument can be advanced for it.

In fact when the advocates of unanimous election found that unanimity of all votes is difficult they adopted the agreement of the majority as a substitute for unanimity, ignoring the difference of the minority. In such a case also it often happens that the force of fair and foul or correct and incorrect ways turns the flow of the majority opinion in the direction where there is neither individual distinction nor personal merit as a result of which competent persons remain hidden while incompetent individuals stand forward. When capabilities remain so curbed and personal ends stand in the way as hurdles, how can there be expectation for the election of correct person. Even if it is assumed that all voters have independent unbiased view, that

none of them has his own objective and that none has any other consideration, it is not necessary that every verdict of the majority should be correct, and that it cannot go astray. Experience shows that after experiment the majority has held its own verdict to be wrong. If every verdict of the majority is correct then its first verdict should be wrong because the verdict which holds it wrong is also that of the majority. In this circumstances if the election of the Caliph goes wrong who would be responsible for the mistake, and who should face the blame for the ruination of the Islamic polity. Similarly on whom would be the liability for the bloodshed and slaughter following the turmoil and activity of the elections. When it has been seen that even those who sat in the audience of the Holy Prophet could not be free of mutual quarrel and strife how can others avoid it.

If with a view to avoid mischief it is left to the people of authority to choose anyone they like then here too the same friction and conflict would prevail because here again convergence of human temperaments on one point is not necessary nor can they be assumed to rise above personal ends. In fact here the chances of conflict and collision would be stronger because if not all at least most of them would themselves be candidates for that position and would not spare any effort to defeat their opponent, creating impediments in his way as best as possible. Its inevitable consequence would be mutual struggle and mischief-mongering. Thus, it would not be possible to ward off the mischief for which this device was adopted, and instead of finding a proper individual the community would just become an instrument for the achievement of personal benefits of the others. Again, what would be the criterion for these people in authority? The same as has usually been, namely whoever collects a few supporters and is able to create commotion in any meeting by use of forceful words would count among the people of authority. Or would capabilities also be judged? If the mode of judging the capabilities is again this very common vote then the same complications and conflicts would arise here too, to avoid which this way was adopted. If there is some other standard, then instead of judging the capabilities of the voters by it why not judge the person who is considered suitable for the position in view. Further, how many persons in authority would be enough to give a verdict? Apparently a verdict once accepted would be precedent for good and the number that would give this verdict would become the criterion for future. al-Qādī 'Adud ad-Din al-'lji writes: Rather the nomination of one or two individuals by the people in authority is enough because we know that the companions who were strict in religion deemed it enough as the nomination of Abu Bakr by 'Umar and of 'Uthman by 'Abd ar-Rahman. (Sharh al-mawaqif, p.351)

This is the account of the "unanimous election" in the Hall of Bani Sã'idah and the activity of the consultative assembly: that is, one man's action has been given the name of unanimous election and one individual's deed given the name of consultative assembly. Abu Bakr had well under-stood this reality that election means the vote of a person or two only which is to be attributed to common simple people. That is why he ignored the requirements of unanimous election, majority vote or method of choosing through electrol assembly and appointed 'Umar by nomination. 'A'ishah also considered that leaving the question of caliphate to the vote of a few particular individuals means inviting mischief and trouble. She sent a word to 'Umar on his death saying: Do not leave the Islamic community without a chief. Nominate a Caliph for it and leave it not without an authority as otherwise I apprehend mischief and trouble.

When the election by those in authority proved futile it was given up and only "might is right" became the criteria — namely whoever subdues others and binds them under his sway and control is accepted as the Caliph of the Prophet and his true successor. These are those self-adopted principles in the face of which all the Prophet's sayings uttered in the "Feast of the Relatives," on the night of hijrah, at the battle of Tabuk, on the occasion of conveying the Qur'ānic chapter "al-Barā'ah" (at-Tawbah, chap.9) and at Ghadir (the spring of) Khumm. The strange thing is that when each of the first three caliphates is based on one individual's choice how can this very right to choose be denied to the Prophet himself, particularly when this was the only way to end all the dissentions, namely that the Prophet should have himself settled it and saved the community from future disturbances and spared it from leaving this decision in the hands of people who were themselves involved in personal aims and objects. This is the correct procedure which stands to reason and which has also the support of the Prophet's definite sayings.

[3] Hayyan ibn as-Samin al-Hanafi of Yamamah was the chief of the tribe Banu Hanifah and the master of fort and army. Jabir is the name of his younger brother while al-A'sha whose real name was Maymun ibn Qays ibn Jandal enjoyed the position of being his bosom friend and led decent happy life through his bounty. In this verse he has compared his current life with the previous one that is the days when he roamed about in search of livelihood and those when he led a happy life in Hayyan's company. Generally Amīr al-mu'minīn's quoting of this verse has been taken to compare this troubled period with the peaceful days passed under the care and protection of the Prophet when he was free from all sorts of troubles and enjoyed mental peace. But taking into account the occasion for making this comparison and the subject matter of the verse it would not be far fetched if it is

taken to indicate the difference between the unimportant position of those in power during the Prophet's life time and the authority and power enjoyed by them after him, that is, at one time in the days of the Prophet no heed was paid to them because of `Ali's personality but now the time had so changed that the same people were masters of the affairs of the Muslim world.

[4] When 'Umar was wounded by Abu Lu'lu'ah and he saw that it was difficult for him to survive because of the deep wound he formed a consultative committee and nominated for it 'Ali ibn Abi Tālib, 'Uthmān ibn 'Affān, 'Abd ar-Rahmān ibn 'Awf, az-Zubayr ibn al-`Awwam, Sa`d ibn Abi Waggas, and Talhah ibn `Ubaydillah and bound them that after three days of his death they should select one of themselves as the Caliph while for those three days Suhayb should act as Caliph. On receipt of these instructions some members of the committee requested him to indicate what ideas he had about each of them to enable them to proceed further in their light. `Umar therefore disclosed his own view about each individual. He said that Sa`d was harsh-tempered and hot headed; 'Abd ar-Rahman was the Pharaoh of the community; az-Zubayr was, if pleased, a true believer but if displeased an unbeliever; Talhah was the embodiment of pride and haughtiness, if he was made caliph he would put the ring of the caliphate on his wife's finger while 'Uthman did not see beyond his kinsmen. As regards `Ali he is enamoured of the Caliphate although I know that he alone can run it on right lines. Nevertheless, despite this admission, he thought it necessary to constitute the consultative Committee and in selecting its members and laying down the working procedure he made sure that the Caliphate would take the direction in which he wished to turn it. Thus, a man of ordinary prudence can draw the conclusion that all the factors for `Uthman's success were present therein. If we look at its members we see that one of them namely `Abd ar-Raḥmān ibn `Awf is the husband of `Uthmān's sister, next Sa`d ibn Abi Waggis besides bearing malice towards 'Ali is a relation and kinsman of 'Abd ar-Raḥmān. Neither of them can be taken to go against `Uthmān. The third Talḥah ibn `Ubaydillah about whom Prof. Muhammad `Abduh writes in his annotation on Nahj al-balaghah: Talhah was inclined towards `Uthman and the reason for it was no less than that he was against 'Ali, because he himself was a at-Taymi and Abu Bakr's accession to the Caliphate had created bad blood between Bani Taym and Bann Hãshim.

As regards az-Zubayr, even if he had voted for `Ali what could his single vote achieve. According to at-Ṭabarī's statement Ṭalḥah was not present in Medina at that time but his absence did not stand in the way of `Uthman's success. Rather even if he were present, as he did actually reached at the meeting (of the

Committee), and he is taken to be `Ali's supporter, still there could be no doubt in `Uthmãn's success because `Umar's sagacious mind had set the working procedure that: If two agree about one and the other two about another then `Abdullãh ibn `Umar should act as the arbitrator. The group whom he orders should choose the Caliph from among themselves. If they do not accept `Abdullãh ibn `Umar's verdict, support should be given to the group which includes `Abd ar-Raḥmãn ibn `Awf, but if the others do not agree they should be beheaded for opposing this verdict. (at-Ṭabarī, vol. 1, pp. 2779 — 2780; Ibn al-Athīr, vol. 3, p. 67).

Here disagreement with the verdict of `Abdullāh ibn `Umar has no meaning since he was directed to support the group which included `Abd ar-Raḥmān ibn `Awf. He had ordered his son `Abdullah and Suhayb that: If the people differ, you should side with the majority, but if three of them are on one side and the other three on the other, you should side with the group including `Abd ar-Raḥmān ibn `Awf. (at-Ṭabarī, vol. 1, pp. 2725, 2780; Ibn al-Athīr, vol. 3, pp. 51, 67).

In this instruction the agreement with the majority also means support of `Abd ar-Raḥmān because the majority could not be on any other side since fifty blood-thirsty swords had been put on the heads of the opposition group with orders to fall on their heads on `Abd ar-Raḥmān's behest. Amīr al-mu'minīn's eye had fore-read it at that very moment that the caliphate was going to `Uthmān as appears from his following words which he spoke to al-Abbās ibn `Abd al-Muttalib:

"The Caliphate has been turned away from us." al-`Abbas asked how could he know it. Then he replied, "`Uthmãn has also been coupled with me and it has been laid down that the majority should be supported; but if two agree on one and two on the other, then support should be given to the group which includes `Abd ar-Raḥmãn ibn `Awf. Now Sa`d will support his cousin `Abd ar-Raḥmãn who is of course the husband of `Uthman's sister." (ibid.)

However, after `Umar's death this meeting took place in the room of `A'ishah and on its door stood Abu Ṭalḥah al-Ansãri with fifty men having drawn swords in their hands. Ṭalḥah started the proceedings and inviting all others to be witness said that he gave his right of vote to `Uthmãn. This touched az-Zubayr's sense of honour as his mother Safiyyah daughter of `Abd al-Muttalib was the sister of Prophet's father. So he gave his right of vote to `Ali. Thereafter Sa`d ibn Abi Waqqãs made his right of vote to `Abd ar-Raḥmãn. This left three members of the consultative committee out of whom `Abd ar-Raḥmãn said that he was willing to give up his own right of

vote if `Ali (a.s.) and `Uthman gave him the right to choose one of them or one of these two should acquire this right by with-drawing. This was a trap in which 'Ali had been entangled from all sides namely that either he should abandon his own right or else allow `Abd ar-Rahman to do as he, wished. The first case was not possible for him; that is, to give up his own right and elect 'Uthman or 'Abd ar-Raḥmān. So, he clung to his right, while `Abd ar-Raḥmān separating himself from it assumed this power and said to Amīr al-mu'minīn, "I pay you allegiance on your following the Book of Allāh, the sunnah of the Prophet and the conduct of the two Shaykhs, (Abu Bakr and `Umar)." Ali replied, "Rather on following the Book of Allāh, the sunnah of the Prophet and my own findings." When he got the same reply even after repeating the question thrice he turned to `Uthman saying, "Do you accept these conditions." He had no reason to refuse and so he agreed to the conditions and allegiance was paid to him. When Amīr al-mu'minīn saw his rights being thus trampled he said: This is not the first day when you behaved against us. I have only to keep good patience. Allah is the Helper against whatever you say. By Allāh, you have not made `Uthman Caliph but in the hope that he would give back the Caliphate to you.

After recording the events of ash-Shura (consultative committee), Ibn Abi'l-Ḥadīd has written that when allegiance had been paid to `Uthmān, `Ali addressed `Uthmān and `Abd ar-Raḥmān saying, "May Allāh sow the seed of dissension among you," and so it happened that each turned a bitter enemy of the other and `Abd ar-Raḥmān did not ever after speak to `Uthmān till death. Even on death bed he turned his face on seeing him.

On seeing these events the question arises whether ash-Shura (consultative committee) means confining the matter to six persons, thereafter to three and finally to one only. Also whether the condition of following the conduct of the two Shaykhs for caliphate was put by `Umar or it was just a hurdle put by `Abd ar-Raḥmān between `Ali (a.s.) and the Caliphate, although the first Caliph did not put forth this condition at the time of nominating the second Caliph, namely that he should follow the former's footsteps. What then was the occasion for this condition here?

However, Amīr al-mu'minīn had agreed to participate in it in order to avoid mischief and to put an end to arguing so that others should be silenced and should not be able to claim that they would have voted in his favour and that he himself evaded the consultative committee and did not give them an opportunity of selecting him.

[5] About the reign of the third Caliph Amīr al-mu'minīn says that soon on `Uthman's

coming to power Banu Umayyah got ground and began plundering the Bayt al-mãl (public fund), and just as cattle on seeing green grass after drought trample it away, they recklessly fell upon Allāh's money and devoured it. At last this self-indulgence and nepotism brought him to the stage when people besieged his house, put him to sword and made him vomit all that he had swallowed.

The maladministration that took place in this period was such that no Muslim can remain unmoved to see that Companions of high position were lying uncared for, they were stricken with poverty and surrounded by pennilessness while control over Bayt al-mãl (public fund) was that of Banu Umayyah, government positions were occupied by their young and inexperienced persons, special Muslim properties, were owned by them, meadows provided grazing but to their cattle, houses were built but by them, and orchards were but for them. If any compassionate person spoke about these excesses his ribs were broken, and if someone agitated this capitalism he was expelled from the city. The uses to which zakãt and charities which were meant for the poor and the wretched and the public fund which was the common property of the Muslims were put may be observed from the following few illustrations:

- (1) al-Ḥakam ibn Abi'l-`As who had been exiled from Medina by the Prophet was allowed back in the city not only against the Prophet's sunnah but also against the conduct of the first two Caliphs and he was paid three hundred thousand Dirhams from the public fund. (Ansãb al-ashrāf, vol. 5, pp. 27, 28, 125)
- (2) al-Walid ibn `Uqbah who has been named hypocrite in the Qur'ān was paid one hundred thousand Dirhams from the Muslim's public fund. (al-`lqd al farid, vol.3, p.94)
- (3) The Caliph married his own daughter Umm Aban to Marwan ibn al-Ḥakam and paid him one hundred thousand Dirhams from the public fund. (Sharḥ of Ibn Abi'l-Ḥadīd, vol. I, pp.198—199).
- (4) He married his daughter `A'ishah to Harith ibn al-Ḥakam and granted him one hundred thousand Dirhams from the public fund. (ibid.)
- (5) `Abdullāh ibn Khālid was paid four hundred thousand Dirhams. (al-Ma'ārif of Ibn Qutaybah, p.84)
- (6) Allowed the khums (one fifth religious duty) from Africa (amounting) to five hundred thousand Dinars) to Marwãn ibn al-Ḥakam. (ibid.)

- (7) Fadak which was withheld from the angelic daughter of the Prophet on the ground of being general charity was given as a royal favour to Marwan ibn al-Ḥakam. (ibid.)
- (8) Mahzur a place in the commercial area of Medina which had been declared a public trust by the Prophet was gifted to Hārith ibn al-Ḥakam. (ibid.)
- (9) In the meadows around Medina no camel except those of Banu Umayyah were allowed to graze. (Sharḥ of Ibn Abi'l-Ḥadīd, vol.l, p. 199)
- (10) After his death (`Uthman's) one hundred and fifty thousand Dinars (gold coins) and one million Dirhams (silver coins) were found in his house. There was no limit to tax free lands; and the total value of the landed estate he owned in Wadi al-Qura and Hunayn was one hundred thousand Dinars. There were countless camels and horses. (Muruj adh-dhahab, vol.l, p.435)
- (11) The Caliph's relations ruled all the principal cities. Thus, at Kūfah, al-Walid ibn 'Uqbah was the governor but when in the state of intoxication of wine he led the morning ,prayer in four instead of two rak'ah and people agitated he was removed, but the Caliph put in his place a hypocrite like Sa'id ibn al-`As. In Egypt `Abdullāh ibn Sa`d ibn Abi Sarh, in Syria Mu`awiyah ibn Abi Sufyān, and in Baṣrah, `Abdullāh ibn `Amir were the governors appointed by him (ibid.)